Please allow extra time for completing the application process for the additional DUNS to Unique Entity ID (UEI) transition requirement (see below). Please note that for this transitional year returning applicants will include the DUNS number and your new UEI number in the Salesforce Applicant Information tab.

### About the Illinois Arts Council Agency (IACA)

The IACA provides public funding to:

- Not-for-profit, tax exempt 501(c)(3) organizations
- Units of government (e.g., Municipal, County, Libraries, Park Districts, etc.)
- Charitable trusts
- Universities, Schools and PTOs, PTAs, and other school affiliate organizations
- Religious organizations

# Illinois Grant Accountability and Transparency Act (GATA)

Before submitting an application through the IACA's Salesforce system, applicants must provide proof of eligibility by completing a validation process through the <u>State of Illinois's Grantee Portal</u> and obtain a pre-qualification status. The IACA recommends that applicants start this process as soon as possible to allow time to address requirements related to their pre-qualification status. The IACA will not be able to consider an application for funding until the applicant has completed this step.

To obtain a pre-qualification status, go to the State of Illinois's Grantee Portal:

- 1. Create an account for accessing the portal.
- 2. Sign in through the portal and register your organization. Preparing and having on-hand the following proof of eligibility information for your organization will help expedite the registrationand pre-qualification process:
  - DUNS number
  - Federal Employer Identification Number (FEIN)
  - Organization type and Illinois Secretary of State File Number
  - Active SAM registration and CAGE Code
  - Fiscal year-end date

After registration is complete, applicants can view their pre-qualification status in the Grantee Portal(see example further below). If any pre-qualification requirements need further attention, the Help section provides guidance on remediation steps applicants can take.

### **DUNS to Unique Entity ID (UEI) Transition**

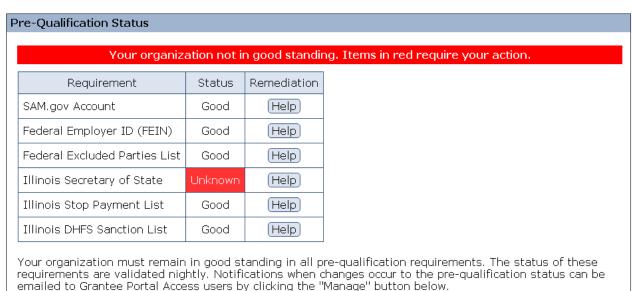
As part of the SAM.gov registration necessary for GATA qualification applicants had previously entered their DUNS number. Beginning April 4, 2022 all Federal Systems will no longer accept DUNS numbers and will require a UEI number. For all applicants with an established SAM.gov account (active or inactive) the UEI number can be found by logging into your SAM.gov account. New applicants will set-up a UEI as part of the SAM.gov registration.

All IACA applicants must enter their new UEI number in the Salesforce Applicant Information tab during the application process.

Resources to assist you in the DUNS to UEI transition include:

- General Service Administration (GSA) video
- General Service Administration (GSA) PowerPoint
- SAM.gov Resource Page
- Federal Service Desk (FSD) Resource Page
- Federal Service Desk (FSD) 3-step instructions to find the UEI for active SAM.gov entities

### **GATA Pre-Qualification Status**



The Pre-Qualification Status section of the Grantee Portal displays a summary of an organization's eligibility status. The example above shows an organization that does not meet Pre-Qualification Status and needs to address the Illinois Secretary of State requirement.

Pre-qualification through the Grantee Portal is required for all organizations, units of government, and schools and school-affiliate organizations applying for grant funding from the IACA. Please note that each applicant needs to complete the pre-qualification process only once annually. If your organizationhas already completed this step as part of receiving a state award from another Illinois agency, you do not need to create an additional account or registration for the purposes of applying for funding from the IACA.

#### **DUNS Number**

The Data Universal Numbering System (DUNS) is Dun & Bradstreet's copyrighted, proprietary means of identifying business entities on a location-specific basis. It is a unique nine-digit number recognized as the universal standard for identifying and keeping track of businesses and is required by the U.S. federal government. While the federal government is in process of transitioning away from DUNS this is a transition year and both pieces of data need to be collected.

All applicants must have a current DUNS number. Provided below are two options for obtaining a DUNS number:

- DUNS number by web, visit: https://fedgov.dnb.com/webform/
- DUNS number by phone, call: 1-866-705-5711, Monday Friday 7 a.m. to 8 p.m. CST

If you have misplaced or forgotten your DUNS number, follow <u>this link</u> for step by step instructions onhow to retrieve your number from Duns & Bradstreet.

# Federal Employer Identification Number (FEIN)

Applicants are required to provide a Federal Employer Identification Number (FEIN) assigned by the Internal Revenue Service. Applicants must hold tax-exempt status from federal income tax under Section 501(c)(3) of the Internal Revenue Code and must qualify as a charitable organization under Section 170(c) of the Internal Revenue Code of 1986 as amended. Units of government, institutions of higher education, and Federally recognized Indian tribal governments are not required to obtain this status and are still eligible to apply.

The IACA uses Guidestar's Charity Check to verify status. For information on how to obtain tax-exemptstatus, go to: http://www.irs.gov/Charities-&-Non-Profits/Application-Process.

### Organization Type and Illinois Secretary of State File Number

The IACA provides public funding to not-for-profit, tax exempt 501(c)(3) organizations, units of government, and institutions of higher education.

All applicants must indicate their correct organization type when registering through the Grantee Portal. Additionally, applicants of the organization type "Corporation – Not For Profit" must provide their eight-digit <u>Illinois Secretary of State file number</u>. Other types of organizations, such as branches of government, schools, and religious organizations, are not required to provide an Illinois Secretary of State file number as such entities are not required to be incorporated in Illinois.

### **SAM Registration and CAGE Code**

The <u>System for Award Management (SAM)</u> is an official website of the U.S. federal government. Applicants must register with and be validated through SAM as part of the pre-qualification process forreceiving a grant from an Illinois state agency. It may take up to **10 business days** after submitting yourorganization's information through SAM for your organization's registration to become active.

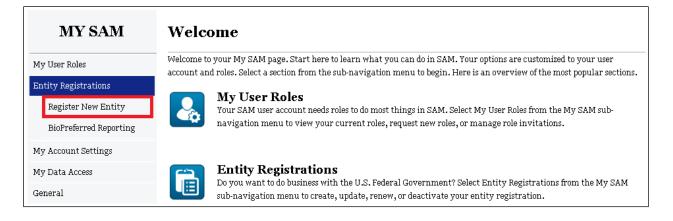
The Commercial and Government Entity (CAGE) Code is a five-character, alpha-numeric identifier assigned by the U.S. Defense Logistics Agency. Organizations that do not have a CAGE Code will beautomatically assigned one during processing after completing SAM registration.

To register your organization through the SAM website: <a href="System for Award Management (SAM)">System for Award Management (SAM)</a>

Create an Individual User Account by clicking on Log In and then Create an account. You
willneed to provide a valid email address and phone number as part of the account
creation process.



- 2. Log in and register your organization through the SAM website's Entity Registration function. Preparing and having on-hand the following information for your organization will help expedite the registration process:
  - <u>DUNS number</u> as well as the legal business name and physical address from your organization's Dun & Bradstreet (D&B) record
  - Taxpayer Identification Number (TIN), i.e. FEIN
  - CAGE Code, if your organization already has one (otherwise, one will be assigned duringprocessing) For more information and detailed guidance on registering with SAM, refer to Section 3 of the SAM UserGuide: System for Award Management (SAM)



### Accessing your SAM.GOV Unique Entity ID

Resources to assist you in the DUNS to UEI transition include:

- General Service Administration (GSA) video
- General Service Administration (GSA) PowerPoint
- SAM.gov Resource Page
- Federal Service Desk (FSD) Resource Page
- Federal Service Desk (FSD) 3-step instructions to find the UEI for active SAM.gov entities